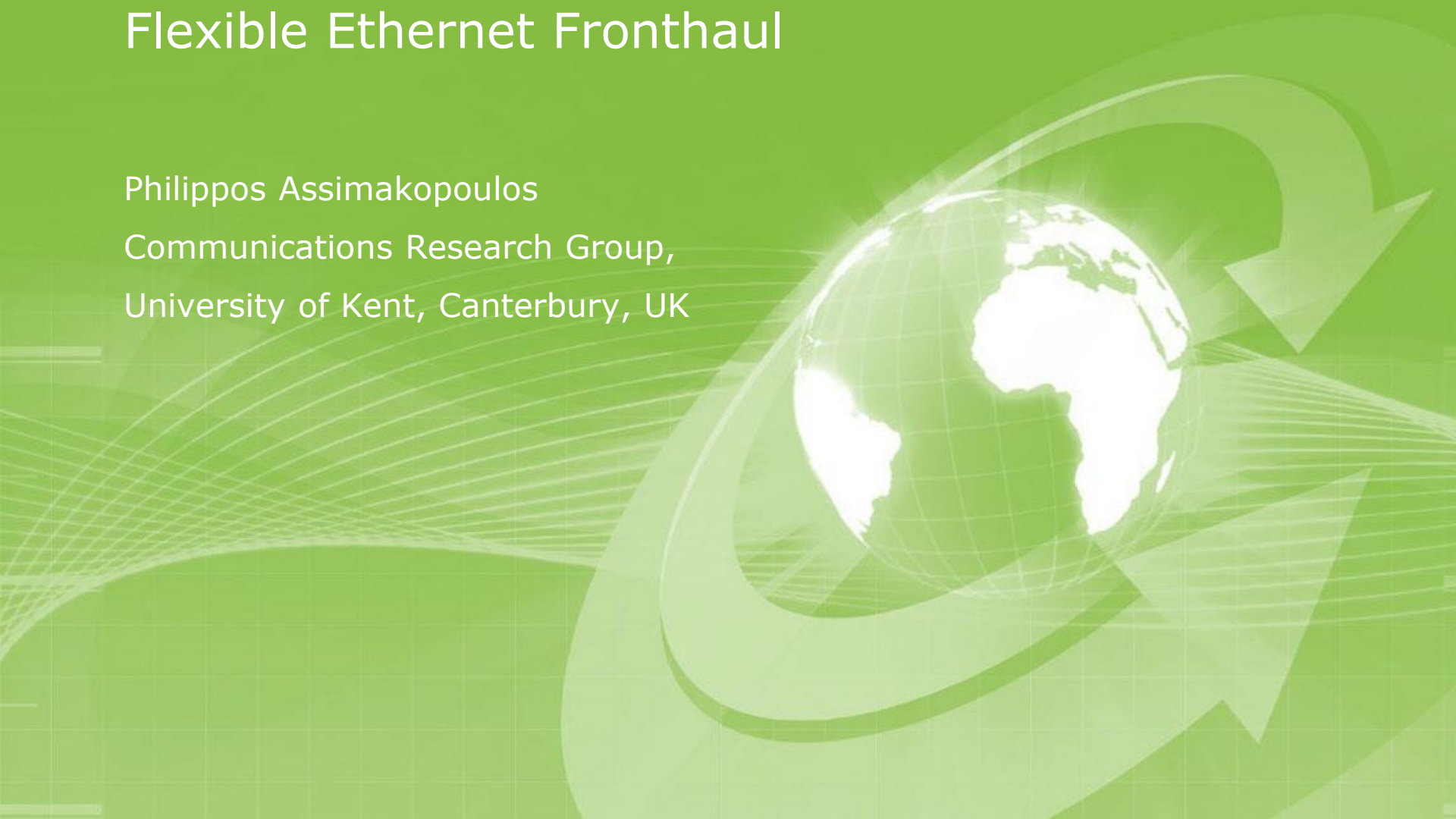


Flexible Ethernet Fronthaul

Philippos Assimakopoulos
Communications Research Group,
University of Kent, Canterbury, UK



Compliance with IEEE Standards Policies and Procedures

Subclause 5.2.1 of the *IEEE-SA Standards Board Bylaws* states, "While participating in IEEE standards development activities, all participants...shall act in accordance with all applicable laws (nation-based and international), the IEEE Code of Ethics, and with IEEE Standards policies and procedures."

The contributor acknowledges and accepts that this contribution is subject to

- The IEEE Standards copyright policy as stated in the *IEEE-SA Standards Board Bylaws*, section 7, <http://standards.ieee.org/develop/policies/bylaws/sect6-7.html#7>, and the *IEEE-SA Standards Board Operations Manual*, section 6.1, <http://standards.ieee.org/develop/policies/opman/sect6.html>
- The IEEE Standards patent policy as stated in the *IEEE-SA Standards Board Bylaws*, section 6, <http://standards.ieee.org/guides/bylaws/sect6-7.html#6>, and the *IEEE-SA Standards Board Operations Manual*, section 6.3, <http://standards.ieee.org/develop/policies/opman/sect6.html>

IEEE 1914
NGFI - Next Generation Fronthaul Interface
Jinri Huang, huangjinri@chinamobile.com

Flexible Ethernet Fronthaul

Date: 2016-08-23

Author(s): Philippos Assimakopoulos

Name	Affiliation	Phone [optional]	Email [optional]
Philippos Assimakopoulos	Communications Research Group, University of Kent		p.asimakopoulos@kent.ac.uk

Acknowledgments



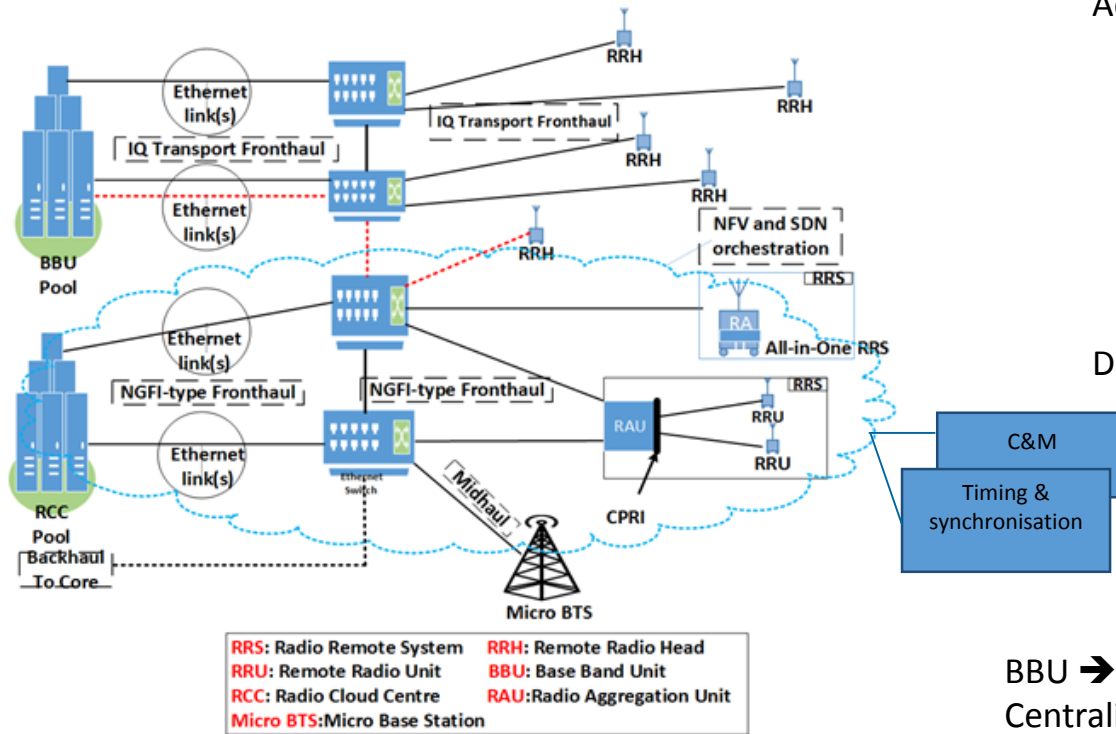
intelligent Converged Network consolidating Radio and optical access aRoundUser equipment

- Daniel Münch, Jörg-Peter Elbers
- Luz Fernandez del Rosal, Volker Jungnickel
- Nathan Gomes



This project has received funding from the *European Union's Horizon 2020 research and innovation programme* under grant agreement No 644526 (iCIRRUS)

Introduction: Fronthaul Architecture



Advantages of Ethernet:

- Ubiquitous, potentially low cost technology.
- Can aid structural and operation convergence (x-haul)
- Fully standardised (including OAM)
- Agnostic

Disadvantages of Ethernet:

- Lack of sync in native form
- Latency and latency variation

BBU → RRH

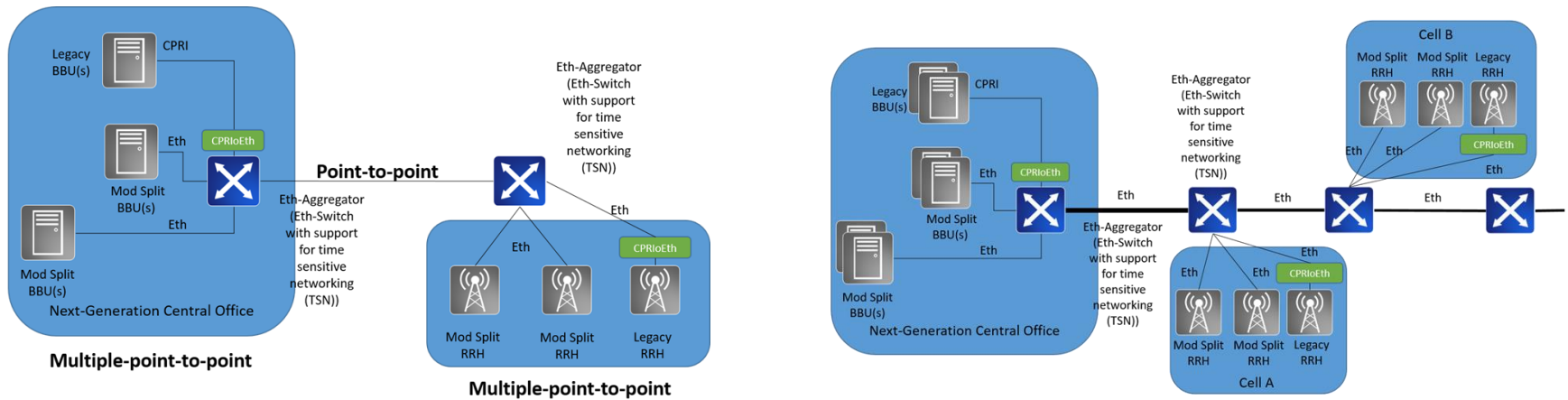
Centralised processing, CPRI or generic I/Q transport

RCC (DU) → RAU, all-in-one RRS (RU)

Variable functional split

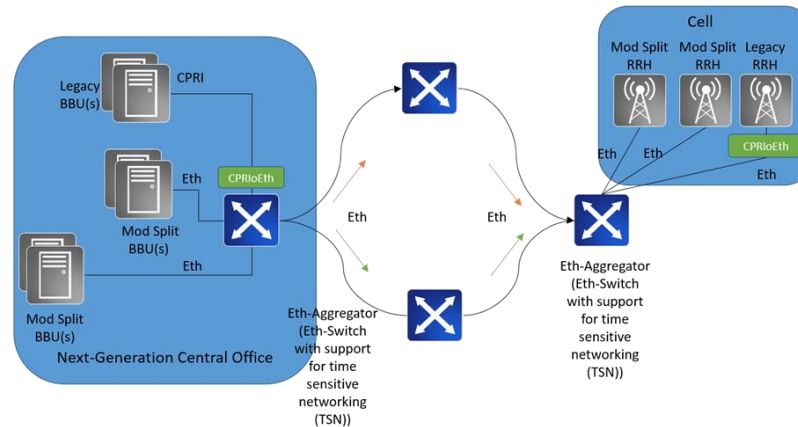
*Definitions from China Mobile *et al* (see White Paper of Next Generation Fronthaul Interface)

Mixed Traffic/ Multiple Topologies



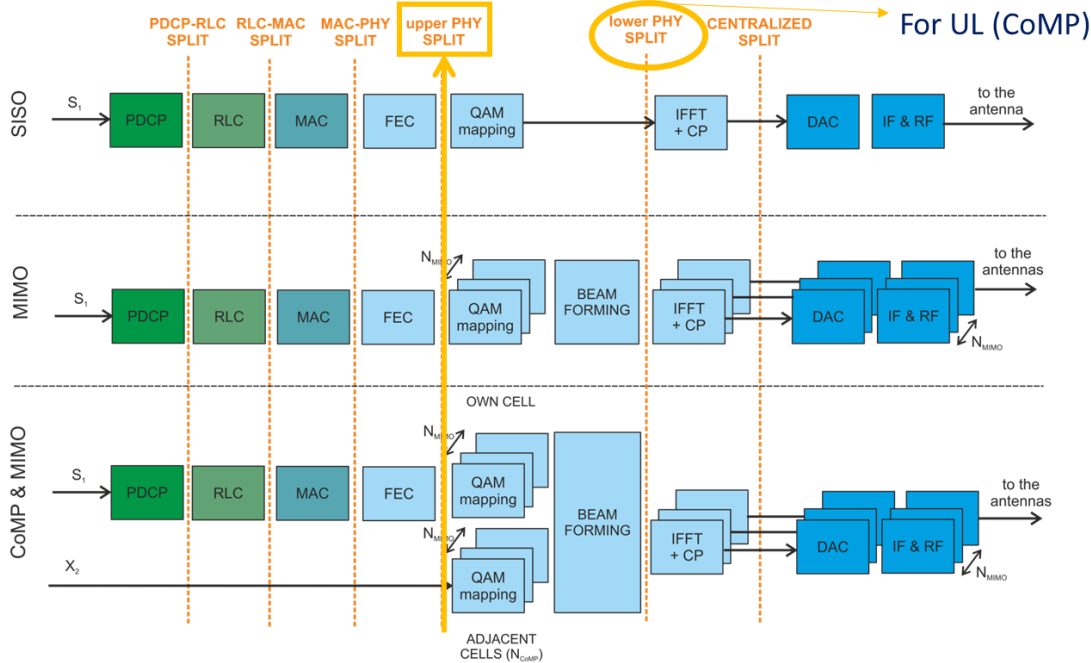
Point-to-point and multiple point-to-point (star) topology (aggregator)

Tree topology (add and drop)



Ring topology (redundancy)

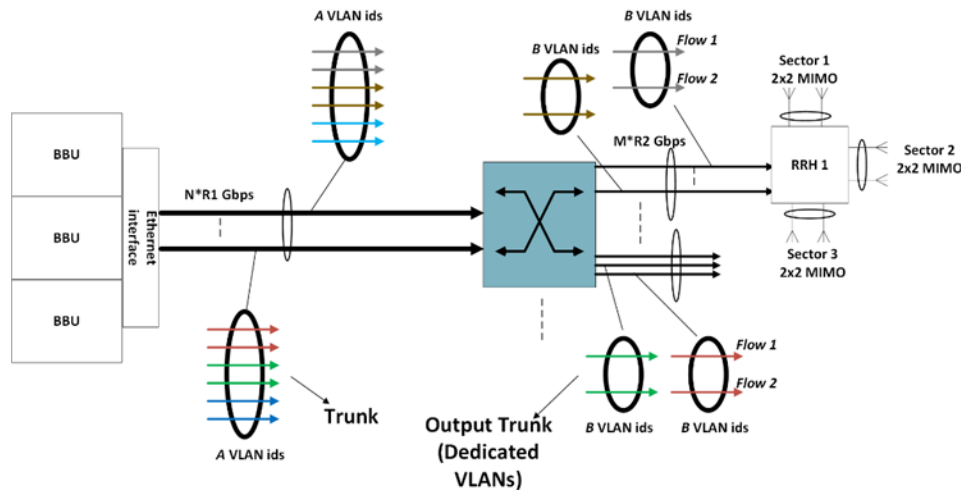
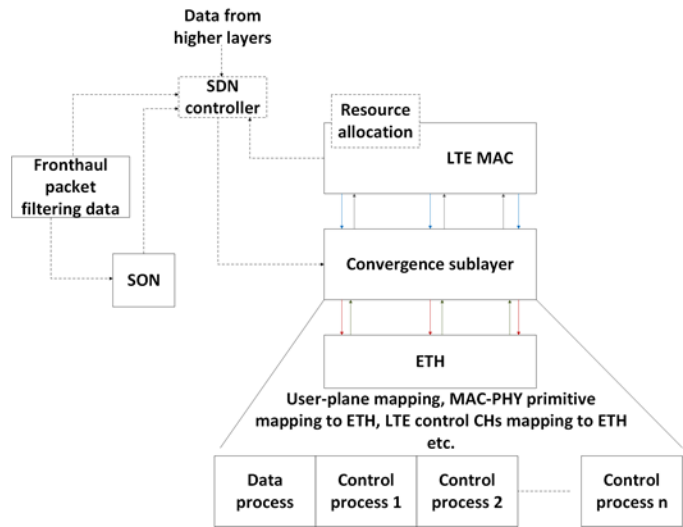
Why Flexible Split?



Fundamentally, different use-cases:

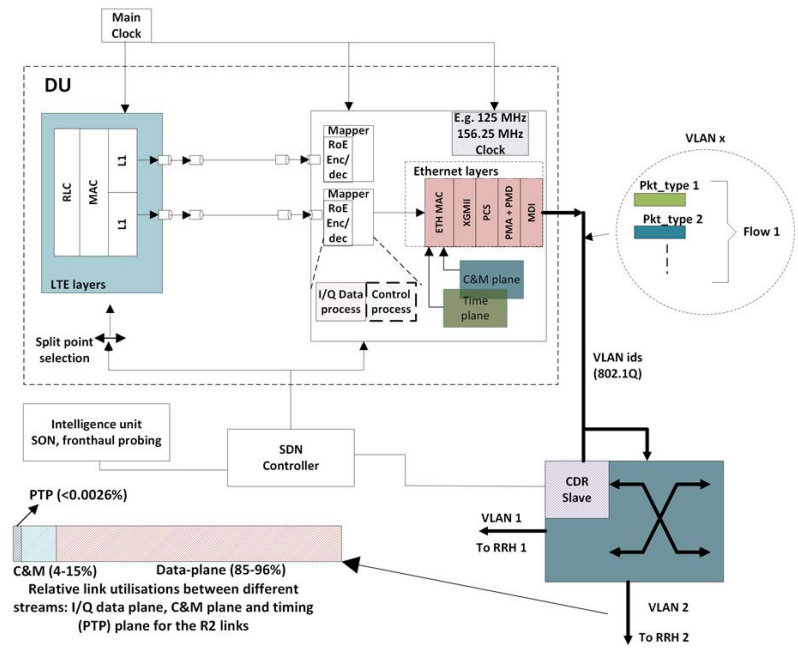
- ✓ *Coordinated techniques*
- ✓ *Mixed traffic KPI performance*
- ✓ *Multi-operator shared infrastructure*
- ✓ *Can exploit traffic temporal characteristics*
- *Statistical mux gains*

Example Architecture

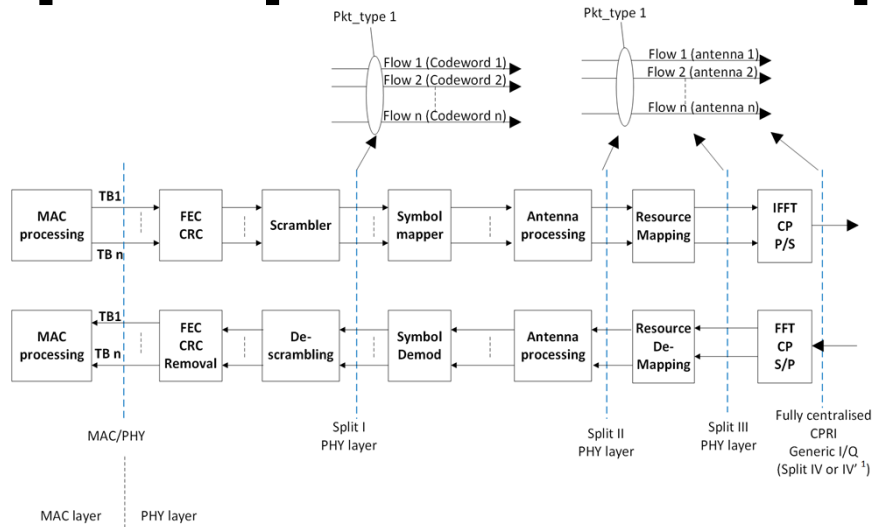


Design aspects:

- Use concept of *VLAN Trunking*.
- Pure L2 architecture, with PTP (and assumed SyncE) and C&M planes.
- RoE-based mapping
- VLAN ID addressing of RRHs and flow IDs for antennas.
- SDN-type intelligent unit/SON/Ethernet packet probing



Split Options and Mappers



¹NGMN definitions

$$\text{Num_IQ_samples_per_Container} = N_{RB} \times N_{RE} // \text{Total number of REs used for data per PDSCH queue.}$$

$$\text{IQ_sample_length} = 2 \times S_j // \text{two times sample length for I and Q}$$

$$\text{Max_RoE_payload_size} \geq 2 \sum_{j=1}^k \left(\sum_{i=1}^{\text{Num_IQ_samples_per_Container}} S_j \right)$$

$$\text{RoE.numContainers} = \text{Num_MIMO}$$

$$\text{RoE.container}[0 \dots \text{Num_MIMO}-1].\text{lenContainer} = 2 \sum_{j=1}^{\text{Num_IQ_samples_per_Container}} S_j$$

$$\text{RoE.numSegments} = k \text{ where } k | \text{Num_users} // \text{Defines the number of PDSCH queues inserted into the payload section of each packet. For } k > 1 \text{ more than one queue worth of samples is inserted in a packet. } k \text{ divides } \text{Num_users}.$$

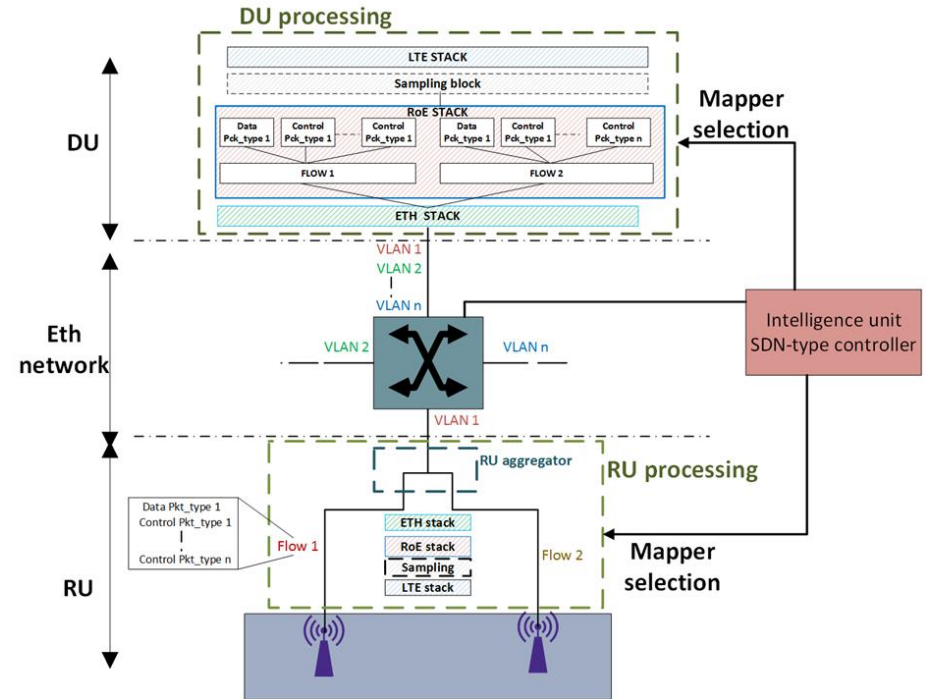
$$\text{RoE.container}[0 \dots \text{Num_MIMO}-1].\text{flow_id} = 1, \dots, \text{Num_MIMO}$$

$$\text{RoE.segment.flow_ids} = 1 \dots \text{Num_MIMO}$$

$$\text{seqNumMin} = 1$$

$$\text{seqNumMax} = \text{Num_user} // \text{will depend on number of allocations per TTI and will be different every TTI i.e. sequence wraps around every TTI. The sequencing is used to synchronise the equivalent queues at the RU i.e. packet for first queue will have the lowest SN while packet for the last queue will have the highest SN.}$$

$$\text{seqNumIncrement} = k$$



← Mapper Example for Split II, PDSCH based on RoE

Data Rate Requirements Per RU/Sector

Uplink data rates assuming 8 antennas and 100 MHz BW. For Splits I & II the sample resolution is 8 bits

Split selection	Sector load		
	20%	50%	100%
Split III	12.2	12.2	12.2
Split II	2.5	6.1	12.2
Split MAC/PHY ¹	0.2	0.5	1

¹Two layers

Assumptions:

- ETH encapsulation overhead
- RoE overhead=10 octets
- 64B/66B encoding
- 6% C&M overhead
- PTPv2.

Preliminary Fronthaul Requirements for 5G

Different kind of traffics supported by the evolved fronthaul				
Fronthaul Requirements	Legacy traffic (CPRI)	upper-PHY split in down and uplink (no CoMP)	upper-PHY split in downlink lower-PHY split in uplink (CoMP)	PDCP-RLC split
Data rate ¹	100 to 400 Gbps			
Max. latency (round-trip-delay)	150 μ s (CoMP) 440 μ s (no CoMP)	440 μ s	150 μ s	60 ms
Min. frequency accuracy	+/- 2 ppb (per hop)	+/- 2 ppb (per hop)	+/- 2 ppb (per hop)	+/- 2 ppb (per hop)
Min. phase and timing accuracy	+/- 10 ns (MIMO & TX diversity) +/-1.36 μ s (LTE TDD)	+/- 30 ns	+/- 30 ns	not already defined
Max. latency imbalance	+/- 16 ns	+/- 163 ns	+/- 163 ns	not already defined
Max. error	10 ⁻¹² BER			10 ⁻⁶ FLR

¹Assuming 5G type signals and based on estimation of future small-cell deployment scenario (Trunk data rate).

Latency important for proper HARQ operation and CSI aging.

Frequency accuracy important for CFO and SFO performance at radio side.

Phase/time important for MIMO and TX diversity

Latency imbalance Important for PTP performance (timestamp accuracy)

Error performance important for different packet types. Normal operation in Ethernet is to drop erroneous packets. Can use cut-through switching (end stations ?)

- *SyncE*
- *PTPv2 (new telecom profiles)/ 802.1AS*
- *TSN*

Dealing with Different Traffic Streams

	Centralised (e.g. CPRI)	Split I	Split II	Split III/MAC-PHY
PDSCH	x	x	Low/Medium ⁵	x
MAC control primitives	x	x	High	High
Transport blocks, DL (UL)	x	x	x	Low/Medium
PBCH	x	x	High ¹	x
PRACH	x	High ²	High	High
Radio “slice” -time domain	High ³	x	x	x
Data subcarriers-frequency domain	x	High ³	x	x
PUCCH	x	x	Low/Medium	x
PUSCH	x	x	Low/Medium	x
DMRS	x	x	Low/Medium ⁴	x

¹When there is a master information block change (every 40 ms). Also dependent on implementation whether this channel is transported or whether it is generated at the RU through control primitives.

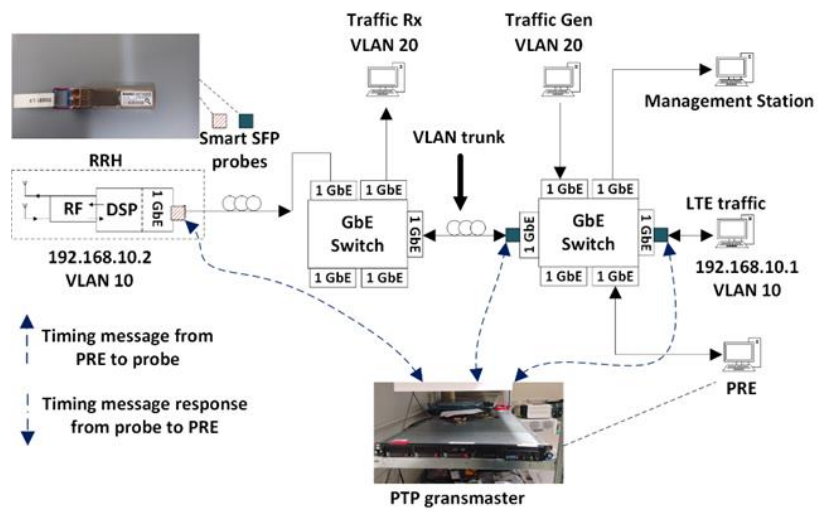
²Dropping PRACH frames will increase the delays in user access (for a number of users) and uplink resource grants.

³A frame drop will result in a whole radio slice being dropped as a worst case (potentially smaller effect as the slice may be divided amongst a number of frames based on frame size considerations).

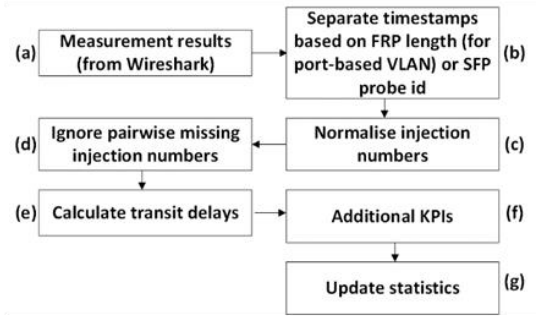
⁴Implementation dependent. If transported as a block per TTI (encapsulated in a single Ethernet frame) it will have implications for all user allocations in that TTI.

⁵Implementation dependent. If a number of user queues are encapsulated in a single Ethernet frame, implications can be more severe.

Testbeds and KPIs

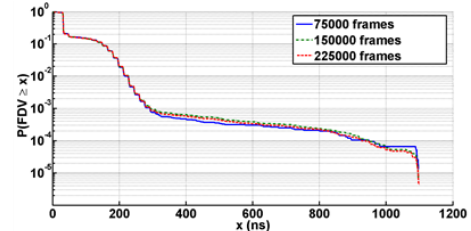


Algorithm for KPI extraction:



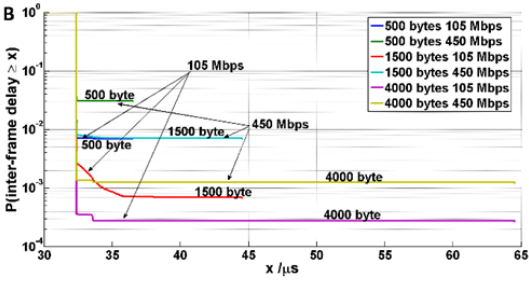
- PRE is a server that collects traffic information and extracts KPIs for performance monitoring.
- Different Switch schedulers

Frame delay variation:

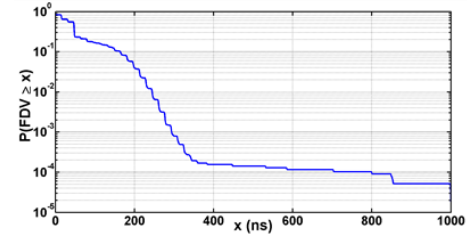
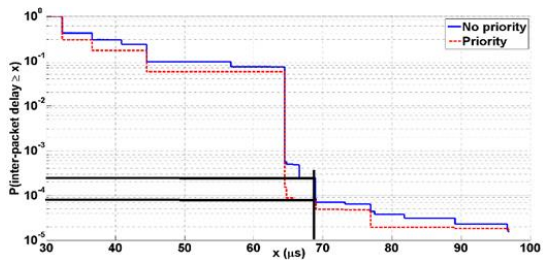


Single Switch:
 $m = 52.9 \text{ ns}$
 $\sigma = 53 \text{ ns}$

Inter-arrival delays:



Statistics for buffer management:

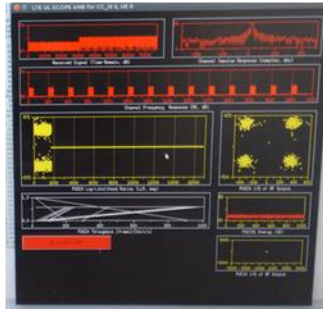


End-to-end:
 $m = 55.7 \text{ ns}$
 $\sigma = 60.1 \text{ ns}$

Testbeds and KPIs

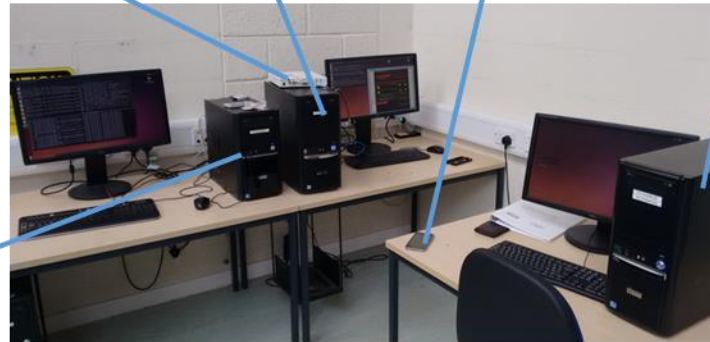
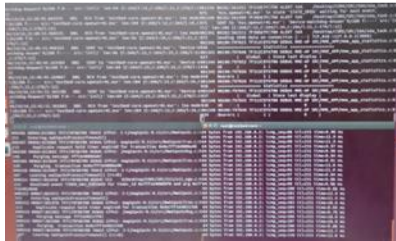
RU (PHY LTE, packetisation)

USRP (DSP, RF)



DU (>PHY LTE, packetisation)

EPC/eNodeB



- The **Open Air Interface (OAI) software alliance** is already in process of re-factoring code and implementing Split I.
- In-house development of MAC/PHY split and Split II in UL using OAI software.
- KPI extraction and performance monitoring
- Mixed traffic scenarios

Conclusion/Discussion

- Ethernet offers a number of advantages but also challenges regarding synchronisation, latency/latency variation and error propagation
 - Frequency & time/phase sync requirements become very stringent for 4G advanced features and 5G
- Multiple topologies are possible each with its own advantages/disadvantages
 - P2P/Star, Tree, ring
- A flexible functional split can be used for different use-cases
 - But more complicated design
- Dynamic KPI monitoring for performance evaluation with dynamic adaptation will be an important aspect for the NGFI
 - SON (longer time scales) and dynamic KPI extraction (shorter time scales) using pluggable “smart SFP” probes
 - Traffic steering/load balancing in fronthaul links, mapper selection
- TSN and new switch schedulers will need to be used. Current standardisation efforts include:
 - 802.1Qav Credit-based shaping
 - P802.1Qbv Time-aware shaping
 - P802.1 Qch Cyclic forwarding and queing
 - P802.1Qbu Frame preemption

Thank you Any Questions?

iCIRRUS: D2.1 *iCIRRUS intelligent C-RAN architecture*, Jul. 2015

iCIRRUS: D3.1 *Verification of Ethernet as transport protocol for fronthaul/midhaul*, Jan. 2016

iCIRRUS: D3.2 *Preliminary Fronthaul Architecture Proposal*, Jul. 2016

(Available: www.icirrus-5gnet.eu/category/deliverables)

Also acknowledge:

<http://www.intelligent-nirvana.net/>

Funded by

EPSRC

Pioneering research
and skills

NIRVANA is part of the “*Towards
an intelligent information
infrastructure (TI3)*” programme