

# Function split and deployment scenarios for NGFI

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Next Generation Fronthaul Interface - Use Cases & Scenarios

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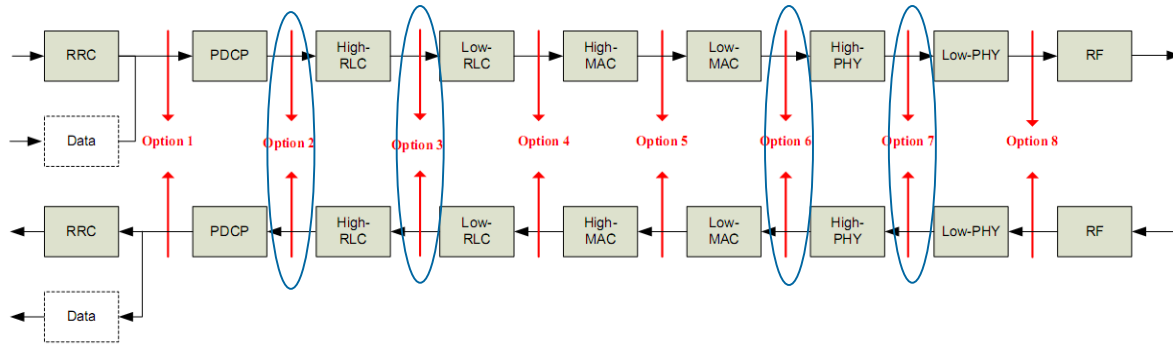
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# Preferred RAN functional split options

# Preferred RAN function split options for transport



## Option 2

- Split between PDCP & RLC
- Already standardized for LTE Dual Connectivity in 3GPP
- Benefits of aggregation of different transmission points

## Option 3

- Intra-RLC split
- Candidate break point: Lower RLC: segmentation & concatenation, High RLC: ARQ retransmission & packet ordering
- Benefit: More robust under unreliable transport conditions

## Option 6

- Already used in nFAPI (network Function API) specified by Small Cell Forum Release 7.0
- Benefit: Centralized scheduler

## Option 7

- Intra-PHY split
- Split point consideration to facilitate:
  - Implementation of advanced receivers
  - Ability of joint processing (JR and JT)
  - Reasonable transport bandwidth requirement
- Candidate break point: after FFT & CP removal for uplink, and before layer mapping for downlink
- Benefits: realization of full CoMP gain and advanced receiver

# Transport requirement for the preferred RAN split options

|                        | Option 2  | Option 3  | Option 6   | Option 7   |
|------------------------|---|---|--|--|
|                        | RLC&PDCP split  | Intra-RLC split                                       | MAC&PHY split  | Intra-PHY split  |
| Throughput requirement | Proportional to the user data rate                    | Proportional to the user data rate                    | Proportional to the user data rate                   | Proportional to channel BW, number of antennas, or MIMO layers |
| Throughput comparison  | $TP2 \approx < TP3 \approx < TP6 < < P7$              | $TP3 \approx < TP6 < < P7$                            | $TP6 < < TP7$<br>(by factor of 5-10)                 | TP7  |
| Latency requirement    | ms range  | ms range  | User-plane: ms range<br>Control-plane: $\mu$ s range | $\mu$ s range  |
| CoMP performance       | LTE: slow coordination<br>NR: new CoMP scheme pending | LTE: slow coordination<br>NR: new CoMP scheme pending | fast scheduling coordination                         | joint processing & fast scheduling coordination                |
| Data types             | RLC PDUs  | ARQ packets   | Physical channels                                    | Sub-carrier symbols  |

- Option 2 & 3 have similar latency&BW requirement  
 → grouped into the same category in terms of transport aspect
- Option 6 requires separation of control-plane (fast) and user-plane (slow)
- Option 7 is another transport category with much different latency and BW requirements

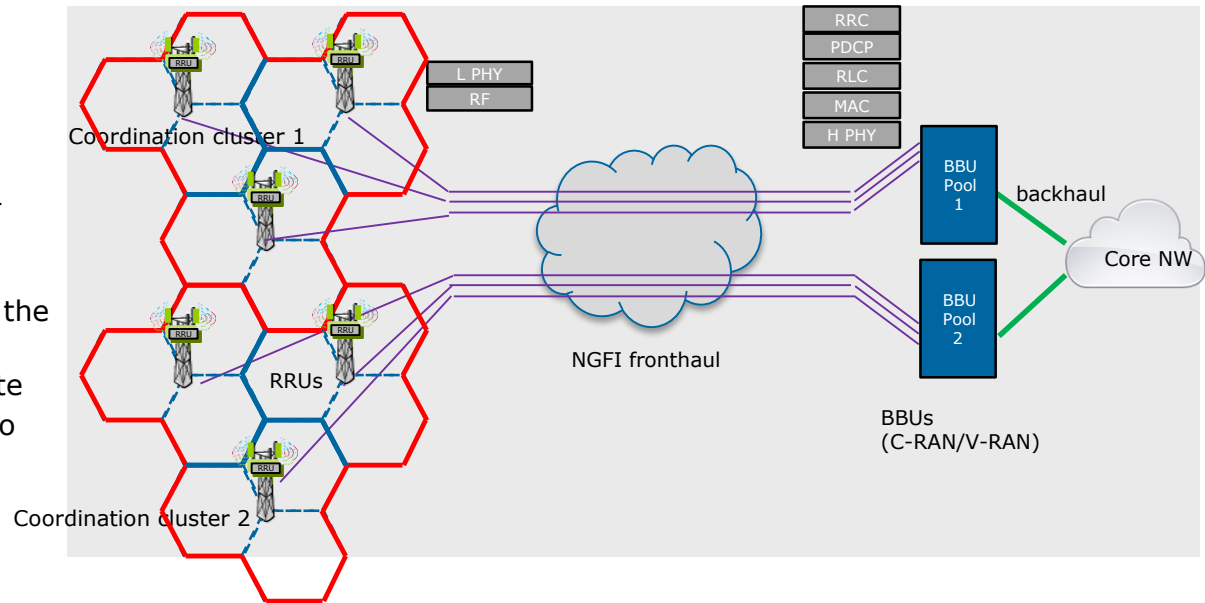
➔ Transport requirements derived from above function split categories to be further discussed & studied

# Deployment scenarios



# Centralized-RAN based macro deployment

- Centralized/cloud-RAN
- Cell coordination to improve cell edge user experience
- BBUs in a coordination cluster co-located in the same BBU pool
- Joint Transmission(JT)/Joint Reception (JR) performed among the BBUs in the same cluster
- Multiple sector deployment per site
- Near term solution for evolution to 5G



## Fronthaul requirement

- Option 7 as candidate function splitting option to enable inter-site/intra-cluster fast coordination
- Site transport BW requirement (UL):

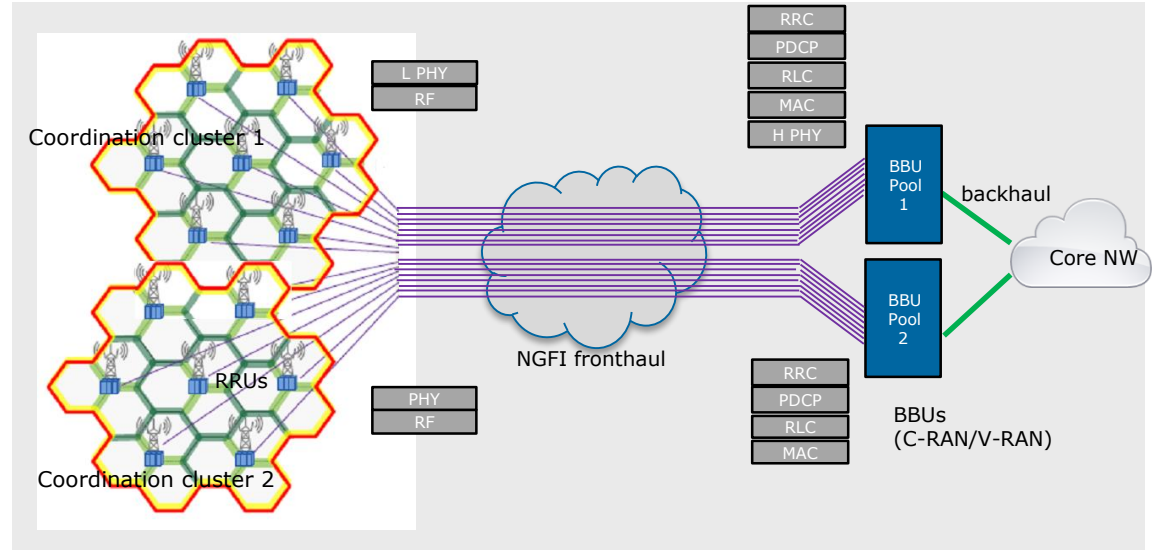
UL:  $\sim(\# \text{ of sectors/site}) \times (\# \text{ of RX chains}) \times (\text{signal BW}) \times (\text{CP removal factor}) \times (\text{sample data resolution})$

DL:  $\sim(\# \text{ of sectors/site}) \times (\# \text{ of DL spatial layers}) \times (\text{signal BW}) \times (\text{CP removal factor}) \times (\text{sample data resolution})$

- Stringent latency requirement: (e.g.  $\sim 100\mu\text{s}$ )
- Average transport distance to BBU pools: large due to large ISD (inter-site distance)  $\rightarrow$  smaller cluster size due to transport cost concerns
- Possible legacy CPRI transport with function split option 8 as existing solution

# Centralized-RAN based small cell deployment

- Micros/pico RRUs at poles/buildings for dense small cells
- Stadium/high-capacity venues
- Evolving to mmWave
- Omnidirectional or multi-sectors
- Advanced CoMP technology required for interference management
  - CS/CB
  - JT/JR
  - Dual connectivity
  - LAA
- Coordination within cluster



## Fronthaul requirement

Option 7 as candidate function split to support advance CoMP

- Site transport BW requirement:

UL:  $\sim(\# \text{ of sectors/site}) \times (\# \text{ of RX chains}) \times (\text{signal BW}) \times (\text{CP removal factor}) \times (\text{sample data resolution})$   
 DL:  $\sim(\# \text{ of sectors/site}) \times (\# \text{ of DL spatial layers}) \times (\text{signal BW}) \times (\text{CP removal factor}) \times (\text{sample data resolution})$

- Stringent latency requirement: (e.g.  $\sim 100\mu\text{s}$ )

- Aggregated transport traffic/cluster: function of coordination cluster size
- Average transport distance to BBU pool: small

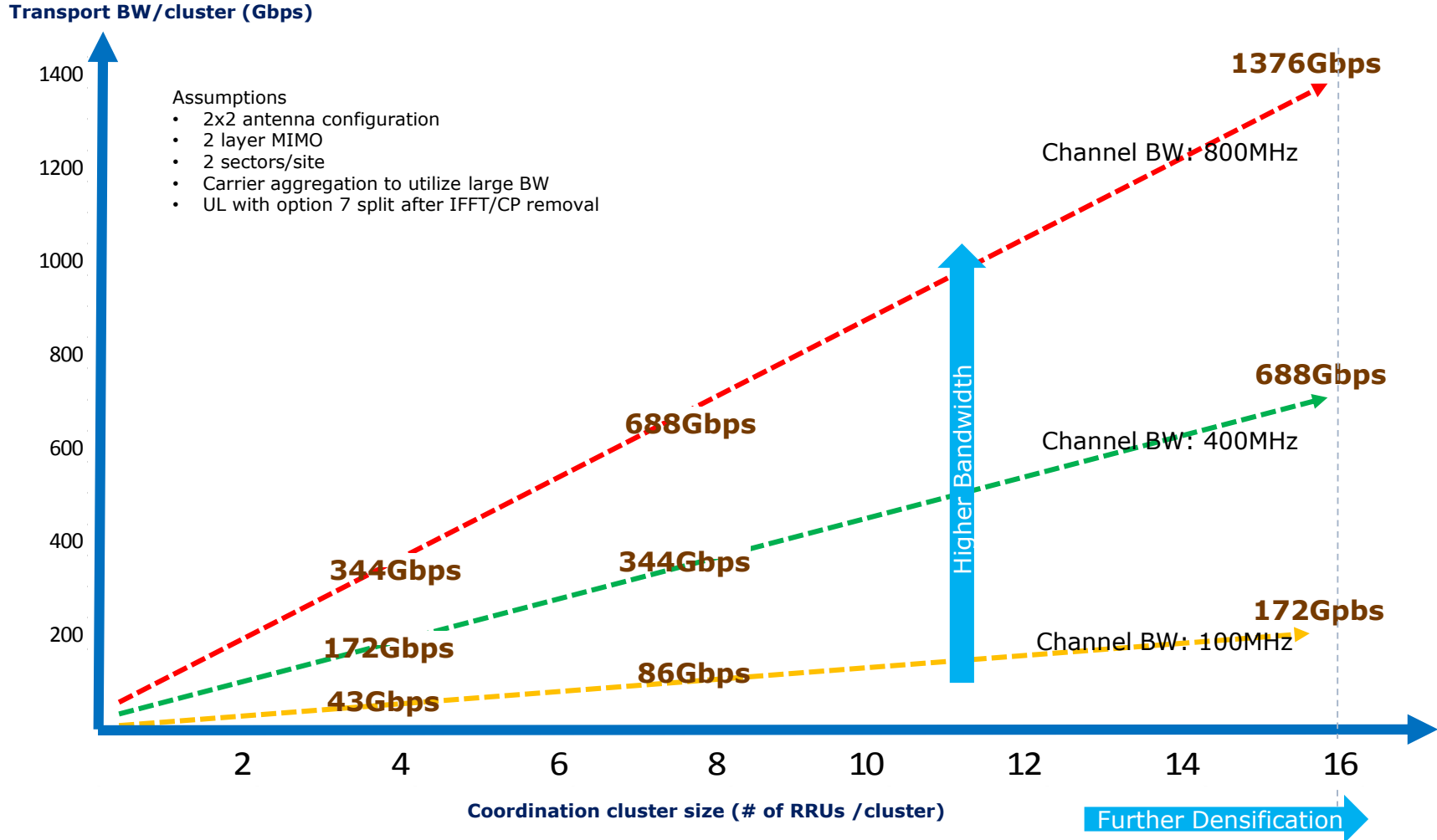
Option 6 as candidate function split

- To support nFAPI
- To reduce the transport cost
- Site transport BW requirement for user-plane:

$\sim(\# \text{ of sectors/site}) \times (\text{peak user data rate})$

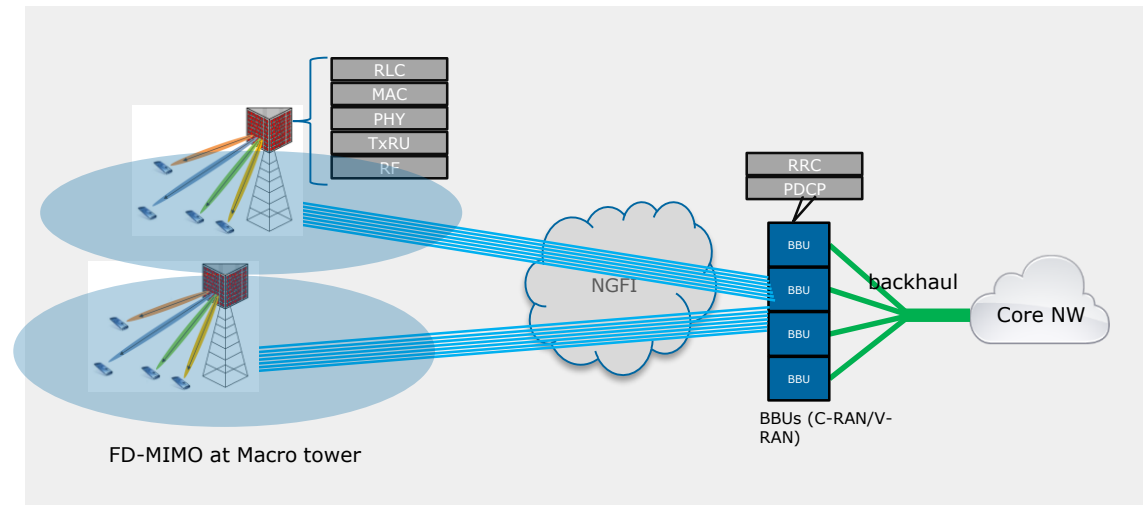
- Stringent latency requirement for control-plane
- Relaxed latency requirement for user-plane

# Transport BW requirement example for small cell deployment



# Massive-MIMO macro deployment

- FD-MIMO/Massive-MIMO at Macro tower
- Active array systems (AAS) to steer beams in both azimuth and elevation directions
- Simultaneous narrow beams to support high order MU-MIMO
- Virtual small cells formed by narrow BF
- Multiple sector deployment
- Carrier aggregation
- Coordination among the virtual cells performed by massive MIMO processing



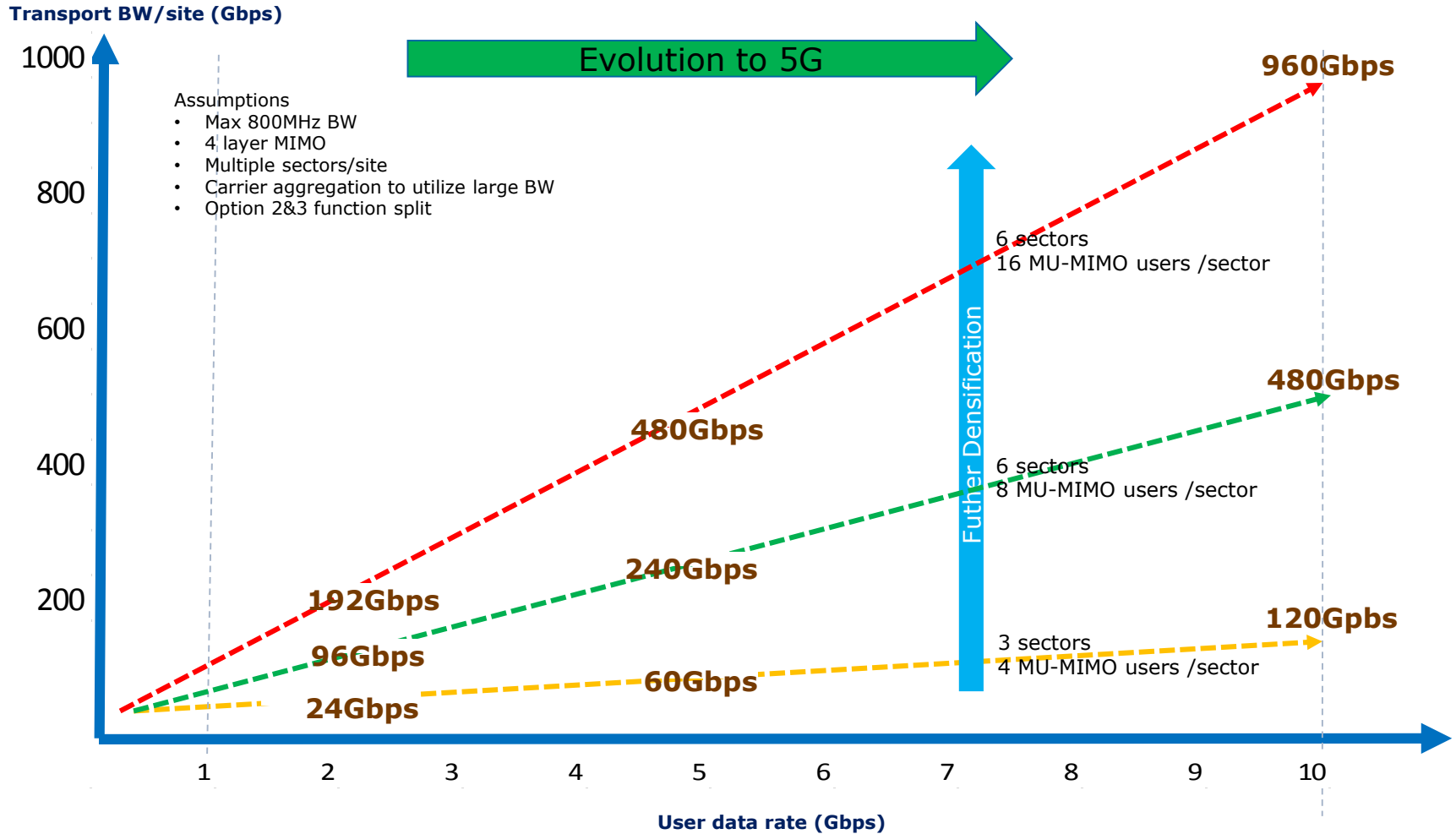
## Fronthaul requirement

- Massive transport connections required to each cell site
- Option 2&3 as candidate function splitting option to cope with the large transport BW requirement
- Transport BW requirement/site:

$$\sim(\# \text{ of sectors/site}) \times (\text{peak user data rate}) \times (\# \text{ of beams (virtual cells)})$$

- Relaxed latency requirement
- Average transport distance to BBU pools: large due to large ISD

# Transport BW requirement example for massive-MIMO deployment



# IOT/MTC deployment

## IOT use case categories

| Non-critical apps  | Critical apps  |
|--|--|
| <ul style="list-style-type: none"> <li>• Low cost/low power</li> <li>• Low mobility</li> <li>• Small data packets</li> <li>• Infrequent transmission</li> <li>• Massive numbers</li> </ul> | <ul style="list-style-type: none"> <li>• Ultra reliable</li> <li>• Very low latency</li> <li>• Very high availability</li> </ul> |



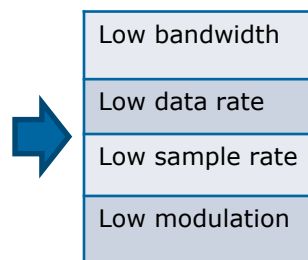
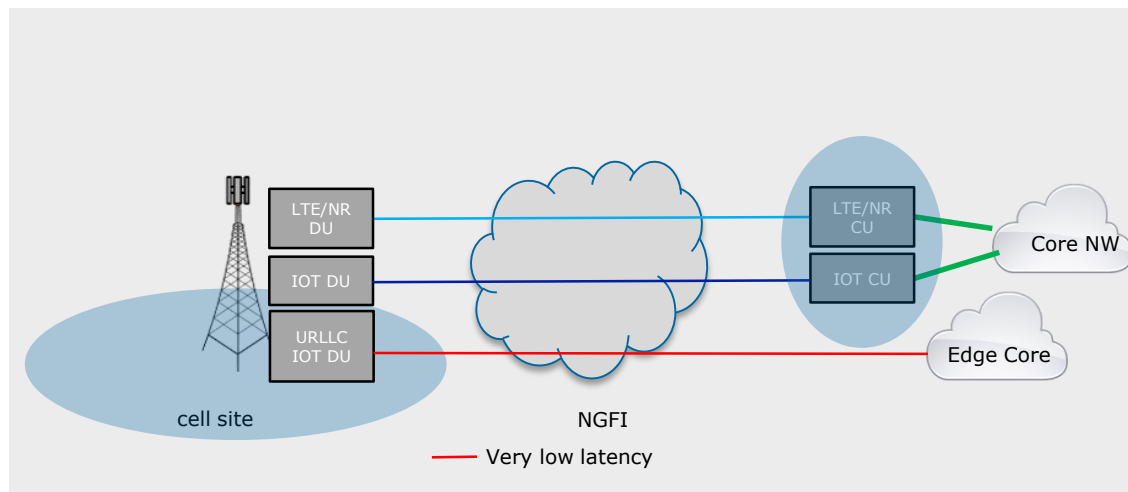
## Current 3GPP IOT

|                     | R13 Cat-M1 | R13 NB-IOT                          |
|---------------------|------------|-------------------------------------|
| Max BW              | 1.4MHz     | 200kHz                              |
| Peak data rate      | 1Mbps      | 70kbps                              |
| RF Sample frequency | 1.92MHz    | 480kHz                              |
| Modulation order    | Max: 16QAM | QPSK                                |
| Operation mode      | Standalone | Standalone<br>Guard-band<br>In-band |

## 5G IOT/MTC

Low rate mMTC

URLLC based MTC

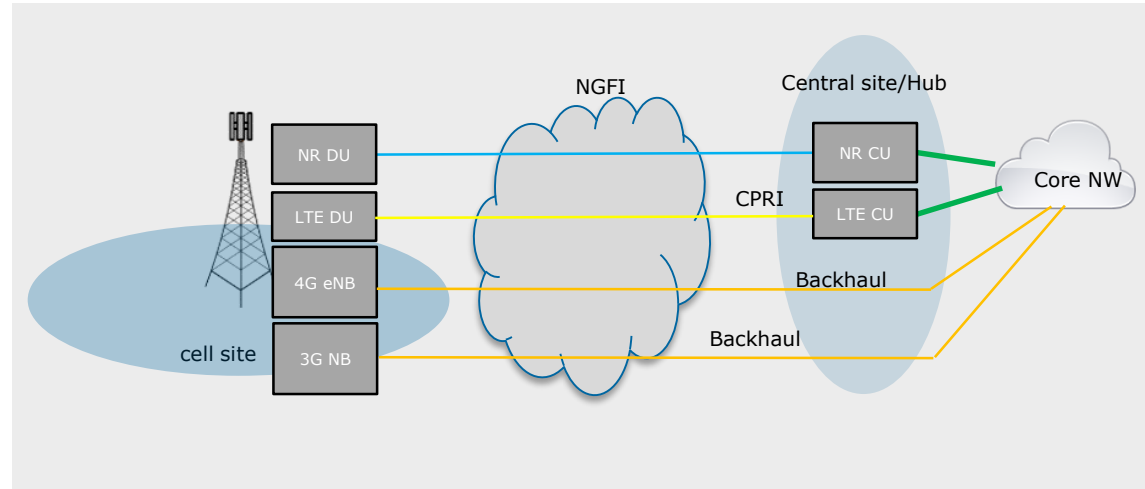


## Transport requirement

- Co-exist in the same cell site with other LTE/NR DUs
- Two types of transport traffic carried in NGFI:
  - Very low latency, small packets, ultra low error rate
  - Slow & small packets
- Requirement on scalability of aggregating massive small data packets

# Support of legacy deployment by NGFI

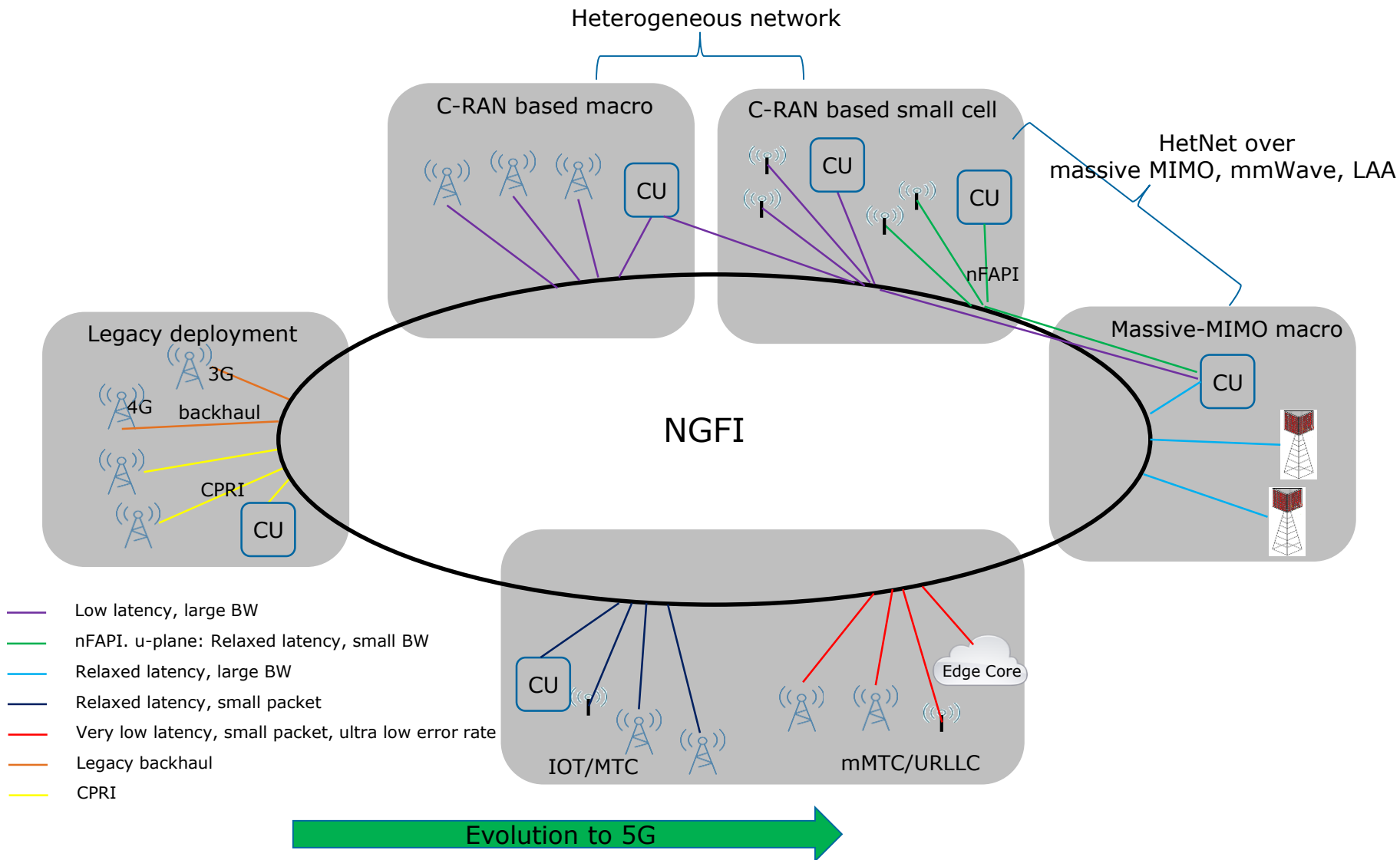
- Co-exist with legacy 3G/4G deployment in the same cell site
- Co-exist with 4G C-RAN deployment with CPRI fronthaul in the same cell site



## Transport requirement

- To accommodate existing legacy services via converged transport
- Merge all transport traffics into NGFI for simplification of transport architecture & reduce deployment cost
  - 3G/4G Backhaul
  - CPRI fronthaul

# Summary of NGFI deployment scenarios





## Summary

- Selection of function split option alone is not sufficient to determine the needs of NGFI specifications
- Under consideration of the preferred function split options together with the intended deployment scenarios, a number of classes of service in terms of transport requirement may be of special interest:

| Service class | Deployment scenario                         | Transport requirement   |
|---------------|---|---|
| 1             | C-RAN based macro<br>C-RAN based small cell | Low latency, large BW   |
| 2             | nFAPI based small cell                      | Low latency control-plane<br>Relaxed latency for user-plane, small BW |
| 3             | Massive-MIMO macro                          | Relaxed latency, very large BW  |
| 4             | URLLC/critical IOT                          | Very low latency, small data packets, ultra low error rate            |
| 5             | Non-critical IOT                            | Relaxed latency, small data packets                                   |
| 6             | 4G/3G backhaul                              | Relaxed latency, small BW   |
| 7             | C-RAN based 4G                              | CPRI  |

- Consider priority mechanisms to combine multiple classes on one NGFI link
- Consider non-switching mechanism to accommodate the needs of ultra low latency& jitter classes

### Proposal for the way forward:

- Define a sufficient set of classes of service according to NGFI scope and supported deployment scenarios
- FFS the class requirement parameters (range of latency, jitter, data rate, error rate, etc.) for each of the classes

# High level requirements of NGFI

## Desired NGFI features/challenges

- Backward compatibility
  - Include legacy 3G/4G backhaul traffic in case of co-site deployment with 5G NRs
  - Include CPRI to ensure fronthaul transport continuity for legacy RRUs/BBUs
  - Migration from CPRI/WDM architecture to CPRI/packet/WDM architecture
- Forward compatibility
  - Provide 'future proof' transport interface architecture
  - Maximally avoid replacement of equipment when migration occurs
  - Collaborate with eCPRI/TSN/nFAPI to support 5G transport network
- Scalability
  - Allow graceful migration on each stage of evolution from 4G to 5G
  - Accommodate vast BW requirement variation on each level of cell densification
- Flexibility/versatility
  - Support multiplexing of different Classes of Service, i.e. ability to carry both (e)CPRI and Ethernet traffic over the same interface.
  - Support multiple medium deployment (copper, fiber, MW, etc.)
  - Allow flexible switching of function split points in a DU/CU configuration for different applications
- Vendor interoperability
  - Enable multiple vendor deployment at both DU/CU ends
  - Interoperability over intra-PHY/intra-RLC function split