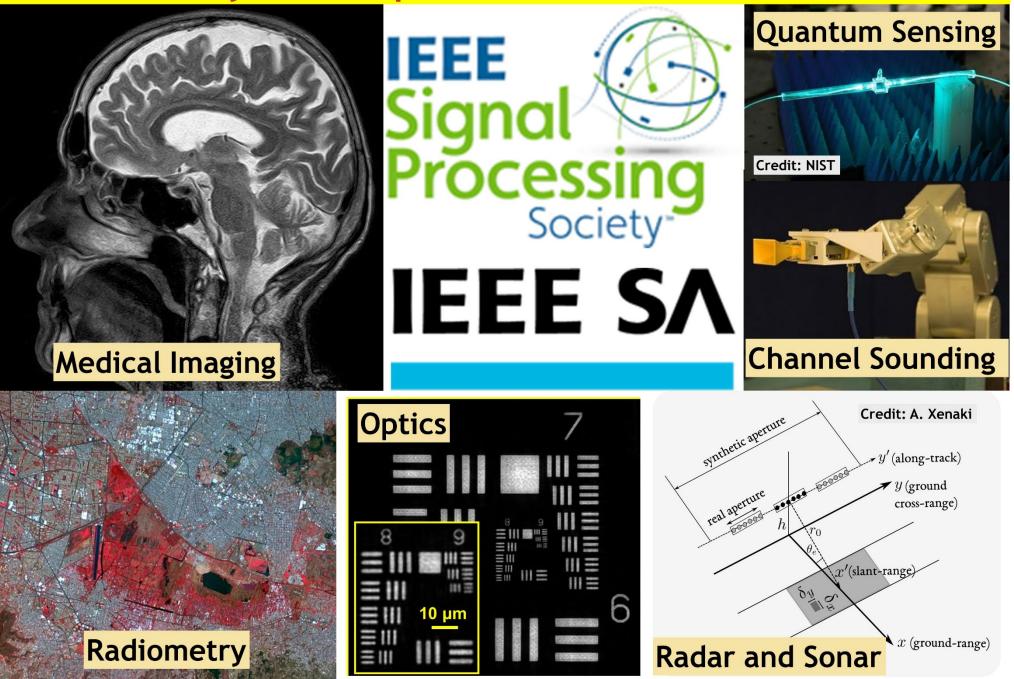
IEEE Synthetic Aperture Standards Committee





Participants have a duty to inform the IEEE of Patent Claims

- Participants shall inform the IEEE (or cause the IEEE to be informed) of the identity of each holder of any potential Essential Patent Claims of which they are personally aware if the claims are owned or controlled by the participant or the entity the participant is from, employed by, or otherwise represents
- Participants should inform the IEEE (or cause the IEEE to be informed) of the identity of any other holders of potential Essential Patent Claims

Early identification of holders of potential Essential Patent Claims is encouraged

Ways to inform IEEE

- Cause an LOA to be submitted to the IEEE-SA (<u>patcom@ieee.org</u>); or
- Provide the chair of this group with the identity of the holder(s) of any and all such claims as soon as possible; or
- Speak up now and respond to this Call for Potentially Essential Patents
- If anyone in this meeting is personally aware of the holder of any patent claims that are potentially essential to implementation of the proposed standard(s) under consideration by this group and that are not already the subject of an Accepted Letter of Assurance, please respond at this time by providing relevant information to the WG Chair

Other Guidelines for IEEE WG meetings

- All IEEE-SA standards meetings shall be conducted in compliance with all applicable laws, including antitrust and competition laws.
 - Don't discuss the interpretation, validity, or essentiality of patents/patent claims.
 - Don't discuss specific license rates, terms, or conditions.
 - Relative costs of different technical approaches that include relative costs of patent licensing terms may be discussed in standards development meetings.
 - Technical considerations remain the primary focus
 - Don't discuss or engage in the fixing of product prices, allocation of customers, or division of sales markets.
 - Don't discuss the status or substance of ongoing or threatened litigation.
 - Don't be silent if inappropriate topics are discussed ... do formally object.

For more details, see IEEE-SA Standards Board Operations Manual, clause 5.3.10 and Antitrust and Competition Policy: What You Need to Know at http://standards.ieee.org/develop/policies/antitrust.pdf

Patent related information

The patent policy and the procedures used to execute that policy are documented in the:

- IEEE-SA Standards Board Bylaws (<u>http://standards.ieee.org/develop/policies/bylaws/sect6-7.html#6</u>)
- IEEE-SA Standards Board Operations Manual (<u>http://standards.ieee.org/develop/policies/opman/sect6.html#6.3</u>)

Material about the patent policy is available at <u>http://standards.ieee.org/about/sasb/patcom/materials.html</u>

If you have questions, contact the IEEE-SA Standards Board Patent Committee Administrator at patcom@ieee.org

Copyright Policy

IEEE SA Copyright Policy

- By participating in this activity, you agree to comply with the IEEE Code of Ethics, all applicable laws, and all IEEE policies and procedures including, but not limited to, the IEEE SA Copyright Policy.
 - Previously Published material (copyright assertion indicated) shall not be presented/submitted to the Working Group nor incorporated into a Working Group draft unless permission is granted.
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IEEE SA Copyright Policy

- The IEEE SA Copyright Policy is described in the IEEE SA Standards Board Bylaws and IEEE SA Standards Board Operations Manual
- IEEE SA Copyright Policy, see

Clause 7 of the IEEE SA Standards Board Bylaws

https://standards.ieee.org/about/policies/bylaws/sect6-7.html#7

Clause 6.1 of the IEEE SA Standards Board Operations Manual

https://standards.ieee.org/about/policies/opman/sect6.html

- IEEE SA Copyright Permission
- <u>https://standards.ieee.org/content/dam/ieee-standards/standards/web/documents/other/permissionltrs.zip</u>
- IEEE SA Copyright FAQs
- <u>http://standards.ieee.org/faqs/copyrights.html/</u>
- IEEE SA Best Practices for IEEE Standards Development
- <u>http://standards.ieee.org/develop/policies/best_practices_for_ieee_standards_development_051215.pdf</u>
- Distribution of Draft Standards (see 6.1.3 of the SASB Operations Manual)
- <u>https://standards.ieee.org/about/policies/opman/sect6.html</u>

Participant Behavior Policy

Participant behavior in IEEE-SA activities is guided by the IEEE Codes of Ethics & Conduct

- All participants in IEEE-SA activities are expected to adhere to the core principles underlying the:
 - <u>IEEE Code of Ethics</u>
 - <u>IEEE Code of Conduct</u>
- The core principles of the IEEE Codes of Ethics & Conduct are to:
 - Uphold the highest standards of integrity, responsible behavior, and ethical and professional conduct
 - Treat people fairly and with respect, to not engage in harassment, discrimination, or retaliation, and to protect people's privacy.
 - Avoid injuring others, their property, reputation, or employment by false or malicious action
- The most recent versions of these Codes are available at
 - <u>http://www.ieee.org/about/corporate/governance</u>

Participants in the IEEE-SA "individual process" shall act independently of others, including employers

- The **IEEE-SA Standards Board Bylaws** require that "participants in the IEEE standards development individual process shall act based on their qualifications and experience"
- This means participants:
 - Shall act & vote based on their personal & independent opinions derived from their expertise, knowledge, and qualifications
 - Shall not act or vote based on any obligation to or any direction from any other person or organization, including an employer or client, regardless of any external commitments, agreements, contracts, or orders
 - Shall not direct the actions or votes of other participants or retaliate against other participants for fulfilling their responsibility to act & vote based on their personal & independently developed opinions
- By participating in standards activities using the *"individual process"*, you are deemed to accept these requirements; if you are unable to satisfy these requirements then you shall immediately cease any participation

IEEE-SA standards activities shall allow the fair & equitable consideration of all viewpoints

- The **IEEE-SA Standards Board Bylaws** (clause 5.2.1.3) specifies that "the standards development process shall not be dominated by any single interest category, individual, or organization"
 - This means no participant may exercise "authority, leadership, or influence by reason of superior leverage, strength, or representation to the exclusion of fair and equitable consideration of other viewpoints" or "to hinder the progress of the standards development activity"
- This rule applies equally to those participating in a standards development project and to that project's leadership group
- Any person who reasonably suspects that dominance is occurring in a standards development project is encouraged to bring the issue to the attention of the Standards Committee or the project's IEEE-SA Program Manager

Required notices

Patent FAQ http://standards.ieee.org/board/pat/faq.pdf

Disclosure of Affiliation

http://standards.ieee.org/faqs/affiliationFAQ.html

Anti-Trust Guidelines

http://standards.ieee.org/resources/antitrust-guidelines.pdf

Code of Ethics

http://www.ieee.org/web/membership/ethics/code_ethics.html